

PATENT APPLICATION #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q85928

Junko NISHIYAMA, et al.

Appln. No.: Based on PCT/JP2003/014508

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: March 17, 2005

Examiner: Not Yet Assigned

For: LITHIUM ION SECONDARY BATTERY SYSTEM, AND METHOD FOR OPERATING LITHIUM ION SECONDARY BATTERY

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

1. Japanese Laid-Open Patent Publication No. 06-036803, publication date February 2, 1994, with English Abstract.

2. Japanese Laid-Open Patent Publication No. 11-204148, publication date July 30, 1999, with English abstract.

3. Japanese Laid-Open Patent Publication No. 64-077432, publication date March 23, 1989, with English abstract.

4. Japanese Laid-Open Patent Publication No. 2002-260673, publication date September 13, 2002, with English abstract.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RVM/

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: Based on PCT/JP2003/014508

5. Japanese Laid-Open Patent Publication No. 2000-030749, publication date January 28, 2000, with English abstract.

6. Japanese Laid-Open Patent Publication No. 2003-217679, publication date July 31, 2003, with English abstract.


7. Japanese Laid-Open Patent Publication No. 2002-260673, publication date September 13, 2002, with English abstract.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known 10/528042

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Filing Date	March 17, 2005
First Named Inventor	Junko NISHIYAMA
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q85928

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	06-036803	A	02/10/1994	mitsubishi cable ind ltd	Abstract
		JP	11-204148	A	07/30/1999	NEC CORP	Abstract
		JP	64-077432	A	03/23/1989	MITSUI & CO LTD	Abstract
		JP	2002-260673	A	09/13/2002	TOSHIBA BATTERY CO LTD	Abstract
		JP	2000-030749	A	01/28/2000	TOYOTA CENTRAL RES & DEV LAB	Abstract
		JP	2003-217679	A	07/31/2003	DAIKIN IND LTD	Abstract
		JP	2002-260673	A	09/13/2002	TOSHIBA BATTERY CO LTD	Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	/Richard V Muralidar/	Date Considered	10/01/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RVM/